



Express Mail Label No. EV 923 358 759 US
Date of Deposit: March 21, 2008

Attorney Docket No. 07473-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence M. Sherman
Serial No.: 09/780,266
Filing Date: February 9, 2001
For: SYSTEM AND METHOD FOR PROVIDING ADDITIONAL
INSURANCE

Examiner: Natalie A. Pass
Art Unit: 3626

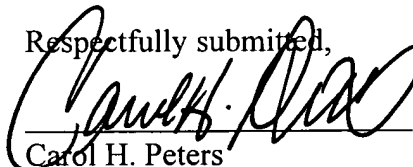
Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSERTION OF SMALL ENTITY STATUS UNDER § 1.27

Sir:

Applicant respectfully submits that it is a small entity in accordance with § 1.27 with respect to the above-referenced patent application Serial No. 09/780,266. Applicant submits herewith this statement in view of the Issue Fee Transmittal Letter identifying Applicant is not a small entity. During prosecution of the above-referenced application Applicant was a small entity and paid small entity fees. Thus, Applicant submits this assertion of small entity status with its payment of the requisite patent issue under small entity status.

Respectfully submitted,



Carol H. Peters

Registration No. 45,010
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
Attorney for Applicant(s)
One Financial Center
Boston, MA 02111
Telephone: 617/348-4914
Facsimile: 617/542-2241
email: cpeters@mintz.com

Date: March 21, 2008



Express Mail Label No. EV 923 358 759 US
Date of Deposit: March 21, 2008

Attorney Docket No. 07473-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence M. Sherman
Serial No.: 09/780,266
Filing Date: February 9, 2001
For: SYSTEM AND METHOD FOR PROVIDING ADDITIONAL
INSURANCE

Examiner: Natalie A. Pass
Art Unit: 3626

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICANT'S COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Applicant thanks the Examiner for the allowance of Claims 27-35, 37-49, 59 and 77. Applicant acknowledges the Examiner's statement of reasons for allowance as set forth in the Notice of Allowability mailed January 31, 2008. Applicant asserts that the claims may contain one or more features mentioned or not mentioned by the Examiner that independently, or when combined, may be sufficient to establish the patentability of one or more of the claims.

Applicant reserves the right to introduce, articulate, or otherwise present any such reasons for allowance as may be appropriate concerning the claims.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
Attorneys for Applicant(s)
One Financial Center
Boston, MA 02111
Telephone: 617/348-4914
Facsimile: 617/542-2241
email: cpeters@mintz.com

Date: March 21, 2008



Express Mail Label No. EV 923 358 759 US
Date of Deposit: March 21, 2008

Attorney Docket No. 07473-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence M. Sherman
Serial No.: 09/780,266
Filing Date: February 9, 2001
For: SYSTEM AND METHOD FOR PROVIDING ADDITIONAL
INSURANCE

Examiner: Natalie A. Pass
Art Unit: 3626

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

LETTER TO OFFICIAL DRAFTSMAN

Sir:

Subject to the approval of the Examiner, enclosed for filing in the above-referenced patent application are five (5) sheets of Formal Drawings, including Figures 1-5. Applicant respectfully requests the Office enter Figures 1-5 into the record.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at telephone 617/348-4914.

The Commissioner is hereby authorized to charge any fees which may be required in connection with this submission of Formal Drawings to the deposit account of the undersigned, Deposit Account No. 50-0311, referencing Attorney Docket No. 07473-032.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
Attorney for Applicant(s)
One Financial Center
Boston, MA 02111
Telephone: 617/348-4914
Facsimile: 617/542-2241
email: cpeters@mintz.com

Date: March 21, 2008



Express Mail Label No. EV 923 358 759 US
Date of Deposit: March 21, 2008

Attorney Docket No. 07473-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence M. Sherman
Serial No.: 09/780,266
Filing Date: February 9, 2001
For: SYSTEM AND METHOD FOR PROVIDING ADDITIONAL
INSURANCE

Examiner: Natalie A. Pass
Art Unit: 3626

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the above-referenced application are the following:

- (1) ISSUE FEE TRANSMITTAL LETTER;
- (2) ASSERTION OF SMALL ENTITY STATUS UNDER § 1.27;
- (3) APPLICANT'S COMMENTS ON STATEMENT FOR REASONS OF ALLOWANCE;
- (4) LETTER TO OFFICIAL DRAFTSMAN;
- (5) FIVE (5) SHEETS FORMAL DRAWINGS Including Figures 1-5; and
- (6) RETURN POST CARD.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
Attorneys for Applicant(s)
One Financial Center
Boston, MA 02111
Telephone: 617/348-4914
Facsimile: 617/542-2241
email: cpeters@mintz.com

Date: March 21, 2008